

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

<b>Utility Name:</b> California American Water Monterey County District – Toro	<b>Date Mailed to Service List:</b> February 8, 2016
<b>District:</b> Service Area	
<b>CPUC Utility #:</b> U210W	<b>Protest Deadline (20<sup>th</sup> Day):</b> February 29, 2016
<b>Advice Letter #:</b> 1111	<b>Review Deadline (30<sup>th</sup> Day):</b> March 10, 2016
<b>Tier</b> <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance	<b>Requested Effective Date:</b> February 8, 2016
<b>Authorization</b> D.15-04-007	
<b>Description:</b> 2015 Interim Rate True-Up	<b>Rate Impact:</b> \$See AL See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Nancy Hollingsworth  
**Phone:** 916-568-4209  
**Email:** nancy.hollingsworth@amwater.com

**Utility Contact:** Todd Pray  
**Phone:** 916-568-4232  
**Email:** todd.pray@amwater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



4701 Beloit Drive  
Sacramento, CA 95838  
www.amwater.com

P (916)-568-4251  
F (916) 568-4260

February 8, 2016

ADVICE LETTER NO. 1111

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey County District – Toro service area which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. MO-TO-1 (Continued) Monterey County District Tariff Area Toro Service Area GENERAL METERED SERVICE	8129-W
XXXX-W	TABLE OF CONTENTS Page 3	8132-W
XXXX-W	TABLE OF CONTENTS Page 1	8133-W

### **PURPOSE**

The purpose of this advice letter filing is to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Monterey County District – Toro service area in connection with Cal-Am's 2013 General Rate Case ("GRC") in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

### **BACKGROUND**

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the Monterey County District – Toro service area.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

*4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.*

### **REQUEST**

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account (“CEBA”) as a quantity based surcharge effective February 8, 2016. The proposed 3 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up of \$5,905 plus the outstanding Consolidated Expense Balancing Account (“CEBA”) balance of (\$156,079) for the period January 1, 2015 through November 30, 2015, including interest of (\$923) is (\$151,097).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

### **SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

### **EFFECTIVE DATE**

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 8, 2016.

### **RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

<b>Email Address:</b> <a href="mailto:Water.Division@cpuc.ca.gov">Water.Division@cpuc.ca.gov</a>	<b>Mailing Address:</b> CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102
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On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

<b>Recipients:</b>	<b>E-Mail:</b>	<b>Mailing Address:</b>
Nancy Hollingsworth ..... <i>Financial Analyst IIB</i>	nancy.hollingsworth@amwater.com...	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper..... <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com .....	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates.....	ca.rates@amwater.com .....	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

<sup>3</sup> G.O. 96-B, General Rule 7.4.3

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Nancy Hollingsworth*

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Nancy Hollingsworth  
Financial Analyst IIB

Schedule No. MO-TO-1 (Continued)
Monterey County District Tariff Area
Toro Service Area
GENERAL METERED SERVICE

SPECIAL CONDITIONS

General Items:

- 1. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges.
2. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program.

Fees & Surcharges:

- 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
2. Per Advice Letter 1072-B, a surcharge of \$0.0245 per 100 gallons will be applied to each bill to fund California American Water conservation efforts.
3. Per Advice Letter 1111, the under-collected balance in the Toro Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0778 per 100 gallons over 36 months effective February 19, 2016.
4. Per Advice Letter 1098, a surcharge of \$1.86 per hundred gallons for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

TABLE OF CONTENTS (Continued)

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<u>SUBJECT MATTER OF SHEET</u>		<u>C.P.U.C. SHEET NO.</u>	
<u>RATE SCHEDULES (Continued):</u>			
Monterey County District (Continued)			
<i>(Monterey Main, Hidden Hills, Ryan Ranch, &amp; Bishop Service Areas) (Continued)</i>			
MO-1 MU	General Metered Service	7988-W, 7728-W, 7729-W, 7730-W	
	Residential/Non-Residential Mixed Use	7731-W, 7732-W, 7733-W, 7734-W	
		7735-W, 7736-W, 8026-W	
<i>(Ambler Park, Ralph Lane &amp; Chualar Service Areas)</i>			
MO-ARC-1	General Metered Service	8118-W, 8119-W, 8120-W, 7700-W,	
		8027-W, 7642-W	
<i>(Toro)</i>			
MO-TO-1	General Metered Service	8128-W, XXXX-W, 8019-W, 7644-	(C)
		W	
<i>(Garrapata)</i>			
MO-GA-2	General Flat Rate Service	7693-W, 7694-W	
Sacramento District			
SC-1	General Metered Service	8103-W, 8104-W, 8105-W, 8028-W,	
		7635-W	
San Diego County District			
SD-1	General Metered Service	8094-W, 8095-W, 8096-W, 8013-W,	
		7624-W	
Ventura County District			
VN-1	General Metered Service	8084-W, 8085-W, 8086-W, 8014-W,	
		8074-W, 7627-W	
VN-9MC	Metered Construction Water	8087-W, 8088-W, 8015-W, 7629-W	

(Continued)

(TO BE INSERTED BY UTILITY)  
ADVICE LETTER NO. 1111

ISSUED BY  
J. LINAM

NAME

(TO BE INSERTED BY C.P.U.C.)  
DATE FILED \_\_\_\_\_

DECISION NO. \_\_\_\_\_

DIRECTOR – Rates & Regulatory

TITLE

EFFECTIVE \_\_\_\_\_  
RESOLUTION \_\_\_\_\_

TABLE OF CONTENTS

(Page 1)

The following listed tariff sheets contain all effective rates and rules affecting the charges and services of the Utility, together with other pertinent information:

<u>SUBJECT MATTER OF SHEET</u>	<u>C.P.U.C. SHEET NO.</u>
TITLE PAGE	7052-W
TABLE OF CONTENTS	XXXX-W, 8131-W, XXXX-W, 7966-W, 8075-W, 8068-W, 8069-W, 8070-W, 8071-W (C)
PRELIMINARY STATEMENTS	7828-W, 8078-W, 8003-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 8079-W, 7759-W, 7830-W, 7831-W, 7831-W, 7833-W, 7834-W, 7835-W, 7836-W, 7837-W, 7838-W, 7769-W, 7770-W, 7771-W, 7772-W, 7773-W, 7774-W, 7775-W, 7776-W, 7777-W, 7778-W, 7779-W, 7780-W, 7781-W, 7782-W, 7783-W, 7784-W, 7785-W, 7786-W, 7787-W, 7788-W, 7789-W, 7790-W, 7791-W, 7792-W, 7793-W, 7794-W, 7795-W, 7796-W, 7797-W, 7798-W, 7799-W, 7800-W, 7801-W, 7802-W, 7803-W, 7804-W, 7806-W, 8080-W, 8081-W, 8082-W
<b><u>SERVICE AREA MAP:</u></b> California-American Water Company	5470-W
Larkfield	6569-W
Los Angeles County	6570-W
Baldwin Hills	6571-W, 6572-W,
Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 6577-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944 -W, 945 -W, 947 -W, 948 -W, 949 -W, 950 -W, 951 -W, 952 -W, 953 -W, 954 -W, 955 -W, 957 -W, 958 -W, 959 -W, 960 -W, 961 -W, 962-W, 963 -W, 964 -W, 966 -W, 967 -W, 968 -W, 969 -W, 971 -W, 972 -W, 973 -W, 974 -W, 975 -W, 976 -W, 977 -W, 978 -W, 979 -W, 980 -W, 981 -W, 982 -W, 983 -W, 984 -W, 7054-W

(Continued)

(TO BE INSERTED BY UTILITY)  
ADVICE LETTER NO. 1111

ISSUED BY  
J. LINAM

(TO BE INSERTED BY C.P.U.C.)  
DATE FILED

DECISION NO.

DIRECTOR – Rates & Regulatory

EFFECTIVE  
RESOLUTION

NAME

TITLE



**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111**

**BY MAIL:**

James M. Boulter 133 Eton Court Santa Rosa, CA 95403	Richard Rauschmeier Calif Public Utilities Commission Water Branch 505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214	Tony Tully Calif Public Utilities Commission Water Branch 505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214
Shanna Foley Calif Public Utilities Commission Legal Division 505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214	Niki Bawa Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5038 San Francisco, CA 94102-3214	Jennifer Kalafut Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5303 San Francisco, CA 94102-3214
John K. Hawks California Water Association 601 Van Ness Ave., Ste. 2047, Mc E3-608 San Francisco, CA 94102-3200	Carl Wood Afl-Cio, Natl Regulatory Affairs Dir. Utility Workers Union Of America 2021 S. Nevada St Oceanside, CA 92054	John Reynolds Calif Public Utilities Commission Legal Division 505 Van Ness Avenue Room 4107 San Francisco, CA 94102-3214
Lori Anne Dolqueist, Esq Attorney At Law Manatt Phelps & Phillips Llp One Embarcadero Center, 30Th Floor San Francisco, CA 94111	Allison Brown Calif Public Utilities Commission Executive Division 505 Van Ness Avenue Room 5206 San Francisco, CA 94102-3214	W. Anthony Colbert Calif Public Utilities Commission Division Of Administrative Law Judges 505 Van Ness Avenue Room 5115 San Francisco, CA 94102-3214
Lenard G Weiss Attorney At Law Manatt, Phelps & Phillips, Llp One Embarcadero Center, 30Th Floor San Francisco, CA 94111	Case Coordinator California American Water Company 333 Hayes St., Ste. 202 San Francisco, CA 94102	Cynthia Russell California American Water Company 333 Hayes Street, Ste. 202 San Francisco, CA 94102
Sarah E. Leeper Vp - Legal, Regulatory California-American Water Company 333 Hayes Street, Suite 202 San Francisco, CA 94102	Celia Hirschman Co-Founder California Water Rights Association 1281 Montgomery St. / P.O. Box 1225 Oroville, CA 95965	Stephanie Tousley Co-Founder California Water Rights Association 1189 Montgomery St. Oroville, CA 95965
Ken Dursa For Wastewater Equity Central Coast Coalition Of Communities 145 Harvest Street Salinas, CA 93901	James R. Riley Co-Chair Ccccwe P.O. Box 7223 Spreckles, CA 93962	Arthur Mcloughlin For Wastewater Equity Central Coast Coalition Of Communities 9540 S. Century Oak Road Salinas, CA 93907
Bob Mckenzie Water Issues Consultant Coalition Of Peninsula Businesses P.O. Box 223542 Carmel, CA 93922	Thomas Frutchey City Manager City Of Pacific Grove 300 Forest Avenue Pacific Grove, CA 93950	Michael Brown Cleantech Law Partners, Pc 2932 West Oriole Ave. Visalia, CA 93291
	Frances M. Farina De Lay & Laredo 389 Princeton Avenue Santa Barbara, CA 93111-1637	David C. Laredo Attorney De Lay & Laredo 606 Forest Avenue Pacific Grove, CA 93950-4221

**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111**

Sara Senger  
Las Palmas Wastewater Committee  
25374 Jasmine Ct.  
Salinas, CA 93908

David J. Stoldt  
Gen. Mgr.  
Monterey Peninsula Water Mngmnt. Dist.  
P.O. Box 85

Lloyd Lowrey  
Noland Hamerly Etienne & Hoss  
P.O. Box 2510  
Salinas, CA 93902

Yolanda Lewis  
Nat'L. Assn. Of Minority Co., Inc.-Namc  
1606 Caino Ruiz No.8-188  
San Diego, CA 92126

Mark Brooks  
Utility Workers Union Of America  
521 Central Ave.  
Nashville, TN 37211

Mari Lane  
Nossaman Llp  
50 California Street, Ste. 3400  
San Francisco, CA 94111

James Birkelund  
Prsident  
Small Business Utility Advocates  
548 Market St., Ste. 11200  
San Francisco. CA 94104  
Aldrica Lattimore  
President And Founder  
Nat'L. Assn. Of Minority Companies, Inc.  
10606 Camino Ruiz No.8-188  
Martin Mattes  
Nossaman Llp  
50 California Street, Ste. 3400  
San Francisco, CA 94111-4799

**By E-MAIL:**

Sara Senger  
Las Palmas Wastewater Committee  
SaraSenger@comcast.net

Shanna Foley  
ORA  
sf2@cpuc.ca.gov

James M. Boulter  
The Mark West Area Community Services  
Committee  
jboulter@comcast.net

Mark Brooks  
Utility Workers Union of America  
markbrooks@uwua.net

Frances M. Farina  
City of Pacific Grove  
Fran@LaredoLaw.net

Lloyd Lowrey  
Noland Hamerly Etienne & Hoss  
lloyrey@nheh.com

Aldrica Lattimore  
National Association of Minority  
Companies, Inc. (NAMC)  
president@namcsd.org

David C. Laredo  
City of Pacific Grove/Monterey Peninsula  
Water Management District (MPWMD)  
dave@laredolaw.net

James Birkelund  
Small Business Utility Advocates (SBUA)  
james@UtilityAdvocates.org

Joseph O'Conner  
Butterfield-Riviera East Community  
Association  
joeoconnor@earthlink.net

Carl Wood  
Utility Workers Union of America  
carlwood@uwua.net

Michael Brown  
Cleantech Law Partners, PC  
MBrown@CleantechLawPartners.com

Bob Mckenzie  
Coalition of Peninsula Businesses (CPB)  
JRBobMcK@gmail.com

Arthur McLoughlin  
Central Coast Coalition of Communities  
for Wastewater Equity (CCCCWwE)  
mickey3643@aol.com

Sarah E. Leeper  
California-American Water Company  
sarah.leeper@amwater.com

Martin Mattes  
California Water Association (CWA)  
mmattes@nossaman.com

Tilden Kim  
Richards Watson & Gershon  
tkim@rwglaw.com

Yolanda Lewis  
National Association of Minority Co.,Inc,-  
NAMC  
president@namcsd.org

Ken Dursa  
Central Coast Coalition of Communitites  
for Wastewater Equity (CCCWE)  
kdursa@salinas.net

David J. Stoldt  
Monterey Peninsula Water Management  
District (MPWMD)  
DStoldt@mpwmd.net

**A.13-07-002 SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 1111**

Thomas Frutchey  
City of Pacific Grove  
tfrutchey@ci.pg.ca.us

John K. Hawks  
California Water Association  
jhawks@calwaterassn.com

Mari Lane  
Nossaman LLP  
mlane@nossaman.com

Celia Hirschman  
California Water Rights Association  
(CWRA)  
CeliaHirschman@gmail.com

Allison Brown  
California Public Utilities Commission  
(CPUC)  
aly@cpuc.ca.gov

Michael Zelazo  
California Public Utilities Commission  
(CPUC)  
mz3@cpuc.ca.gov

Tony Tully  
California Public Utilities Commission  
(CPUC)  
dt1@cpuc.ca.gov

James R. Riley  
Central Coast Coalition of Communities  
for Wastewater Equity (CCCCWE)  
rossriley@aol.com

Lenard G. Weiss  
Manatt, Phelps & Phillips, LLP  
lweiss@manatt.com

David P. Stephenson  
California American Water  
dave.stephenson@amwater.com

Stephanie Tousley  
California Water Rights Association  
(CWRA)  
Stephanie.tousley@gmail.com

Jennifer Kalafut  
California Public Utilities Commission  
(CPUC)  
jmk@cpuc.ca.gov

Niki Bawa  
California Public Utilities Commission  
(CPUC)  
nb2@cpuc.ca.gov

W. Anthony Colbert  
California Public Utilities Commission  
(CPUC)  
wac@cpuc.ca.gov

Case Coordinator  
California American Water  
casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ  
Manatt, Phelps & Phillips, LLP  
ldolqueist@manatt.com

Sherrene Chew  
California American Water  
ca.rates@amwater.com

Terence Shia, P.E.  
CPUC – DRA  
Terence.Shia@cpuc.ca.gov

John Reynolds  
California Public Utilities Commission  
(CPUC)  
jr5@cpuc.ca.gov

Richard Rauschmeier  
California Public Utilities Commission  
(CPUC)  
rra@cpuc.ca.gov